

JC03 Rec'd PCT TO 26 APR 2005

10/533051

ATTORNEY DOCKET NO. DASL006.00US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (DO/EO/US)

In re application of: Jacques Kusmierek

PCT Serial No.: PCT/US03/33440

Filed: October 22, 2003

Title: Treatment of cognitive impairment using a selective dopamine D1 receptor agonist

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)
) **TRANSMITTAL LETTER TO THE**
) **UNITED STATES DESIGNATED**
) **OFFICE (DO/EO/US)**
) **CONCERNING A FILING UNDER**
) **35 U.S.C. 371**

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).

CERTIFICATE OF EXPRESS MAILING

EL 967947365 US

(Express Mail Label No.)

April 26, 2005

(Date of Deposit)

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Margot Turner

(Signature)

Margot Turner

(Printed Name)

PATENT

5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☐ is attached hereto (required only if not communicated by the International Bureau).
- b. ☐ has been communicated by the International Bureau
- c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- a. ☐ is attached hereto.
- b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. ☐ are attached hereto (required only if not communicated by the International Bureau).
- b. ☒ have been communicated by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).
- ☐ executed.
- ☒ unexecuted.
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

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Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
☐ A Change of Correspondence Address Form (PTO/SB/122) is enclosed.
☒ A return receipt postcard is enclosed (postage prepaid).
21. ☒ Basic National Fee \$300.00
22. ☐ International preliminary examination report prepared by USPTO and all claims satisfied provisions of PCT Article 33(1) - (4). \$100.00
23. ☒ Search Fee
Search fee (37 CFR 1.445 (a) (2) has been paid on the International Application to USPTO as an International Searching Authority \$100.00

Total Basic National Fee \$400.00

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- [X] Applicant claims small entity status (37 CFR 1.27). Fees above are reduced by ½.
- [] Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

The fees have been calculated as shown below for the claims as amended:

<u>Claims</u>	<u>Total</u>	<u>Extra</u>	<u>Small Entity</u>	<u>Large Entity</u>
Total:	18	0	x \$25 =	x \$50 = \$0.00
Indep:	6	3	x \$100 = \$300.00	x \$200 = \$0.00
Mult:	Yes		\$180.00	\$0.00

Total Fee (including additional claims): \$ 480.00

- [] Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date.
- [] Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.
- [X] Petition to revive under 37 CFR 1.137(b) \$ 750.00

Total Other Fees: \$ 750.00

TOTAL FEES: \$ 1230.00


- [X] A check in the amount of the above indicated TOTAL FEES is enclosed.
- [] Please charge Deposit Account No. 18-0020 in the amount of the above-indicated TOTAL FEES. A duplicate copy of this sheet is enclosed.
- [X] A credit card authorization for the amount of the above-indicated TOTAL FEES is enclosed.

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- [X] Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.
- [X] The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- [X] Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- [X] Any parent application processing fees under 37 CFR 1.17.
- [X] A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: April 26, 2005


Barbara Rae-Venter, Ph.D.
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